



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Katsuhiko KURUMADA et al.

Application No: **10/509,018**

Confirmation No. **1191**

Filed: **September 27, 2004**

For: **OPTICAL DEVICE**

Art Unit: **2182**

Examiner: **Ellen E. KIM**

Atty. Docket No: **32307-208197**

Customer No:

26694

PATENT TRADEMARK OFFICE

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT (IDS)

Sir:

In order to comply with Applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached PTO/SB/08A form and which the Examiner may deem relevant to patentability of the claims of the above-identified application. The references are cited in the enclosed Office Action dated February 10, 2006 from the State Intellectual Property Office of China.

The instant Information Disclosure Statement is being filed after the mailing date of January 13, 2006 of the Notice of Allowance under 37 C.F.R. § 1.311. Accordingly, pursuant to 37 C.F.R. § 1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 set forth in 37 C.F.R. § 1.17(p) are required.

In accordance with 37 C.F.R. § 1.97(e)(1), Documents A1 and A3 were first cited, to the best of the knowledge of the undersigned, in the February 10, 2006 Chinese Office Action not more than three months prior to the filing of this Information Disclosure Statement. The relevance of documents A1 and A3 is indicated in the Chinese Office Action. Document A2 is an English-language patent application that corresponds to Document A3 and, according to MPEP Section 609.04(b)(III)(V), will be construed as being cited by the State Intellectual Property Office of China for purposes of the previous statement under 37 C.F.R. § 1.97(e)(1). A copy of the Chinese Office Action, an English-language translation of the Chinese Office Action, and copies of Documents A1, A2 and A3 are also submitted.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and the Examiner is respectfully requested to consider the listed references and return of an initialed copy of the attached PTO/SB/08A form.

The Patent Office is hereby authorized to charge the fee for \$180.00 set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 22-0261. No additional fees are believed to be required. However, if the Patent Office deems that any fees are necessary, authorization is hereby granted to charge any required fees to Deposit Account No. 22-0261.

April 11, 2006

Respectfully submitted,

By 

Michael A. Sartori, Ph.D.

Registration No.: 41,289

Thomas C. Schoeffler

Registration No. 43,385

VENABLE LLP

P.O. Box 34385

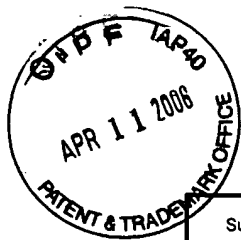
Washington, DC 20043-9998

Telephone: (202) 344-4004

Telefax: (202) 344-8300

Attorney/Agent for Applicant

MAS/TCS



PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/509,018
				Filing Date	09/27/2004
				First Named Inventor	Katsuhiko Kurumada
				Art Unit	2182
				Examiner Name	Ellen E. KIM
Sheet	1	of	1	Attorney Docket Number	32307-208197

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	A1	JP 11-119123	04-30-1999			X
	A2	WO 00/25160	05-04-2000			
	A3	CN 1324453 A	11-28-2001			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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DC2-740970v1

Examiner Signature		Date Considered	
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